

424/Rec'd PCT/ 19 APR 2000 PCT

FORM PTO-1390
(REV. 10-96)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/554464

INTERNATIONAL APPLICATION NO.

PCT/DE 98/03278

INTERNATIONAL FILING DATE

Nov 10, 1998

PRIORITY DATE CLAIMED

Nov 11, 1997

TITLE OF INVENTION

Shoulder - and neck holder

APPLICANT(S) FOR DO/EO/US

Grote Dijen GO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
4. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
5. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)). See G. (list of ---).
6. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
7. ☒ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). See O
8. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
9. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment. See H
14. ☒ A **SECOND** or **SUBSEQUENT** preliminary amendment. See M
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ Other items or information:

list of PCT/DE 98/03278 documents (A-O ---)

09/554464
 17. ☐ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO \$910.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) \$700.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$770.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1040.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

\$ 690

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	52 - 20 =	32	X \$22.00
Independent claims	4 - 3 =	1	X \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00

\$ 704

\$ 80

\$ 260

TOTAL OF ABOVE CALCULATIONS =

\$ 1732

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$ 866

SUBTOTAL =

\$ 866

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

TOTAL FEES ENCLOSED =

\$

Amount to be:
 refunded \$
 charged \$

- a. ☒ A check in the amount of \$ 2600 to cover the above fees is enclosed.
 two 1600 + 400
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.

See letter to "Deposit Accounts" Box 16

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Dr.-Ing. Giok Djien Go
 Pfahlgrabenstr. 45
 D-65510 Idstein
 Germany

SIGNATURE

Giok Djien Go

NAME

REGISTRATION NUMBER

09/554464

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List of PCT/DE 98/03271 documents

DPA = German Patent Office
EPO = European Patent Office

- A) Subdivision of DE 197 49 780 A1 into three, one of which is DE 197 58 498 A1. DPA form of 07/12/98 to apply for examination.
- B) DE 197 58 498 A1
- C) DPA examination report of 06/17/99
- D) PCT-Search Form
- E) PCT-Search report.
- F) PCT-Appl. PCT/DE 98/03271 with 37 claims and Search report.
- G) Translation thereof
- H) WIPO-Confirmation to amended claims
- I) PCT-Examination Form
- J) Amendment from 37 claims to 47 claims, to Figs and new version
- K) Publication of PCT/DE 98/03271 with 37 claims, included the opposition to all the related prior art listed in Search report
- L) Publication of PCT/DE 98/03271 with 47 claims, the opposition to all the related prior art listed in Search report and Examination report.
- M) Letter to EPO to correct the error, discovered by the examiner, to add one claim.
- N) Amended PCT/DE 98/03271
- O) Translation thereof

PCT/DE 98/03271 pursuant the US-Patent rules, provided with claims in three versions:

- 1. with reference numbers
- 2. with reference numbers in double space and
- 3. without reference numbers

Publications noted in PCT/DE 98/03271 such as

- [4] Wiesbadener Tagsblatt of 28.12.97 reporting 100 injured passengers, 10 of which severely, during turbulence-related vibrations of an aeroplane Boeing 747.
- [5] Wiesbadener Tagsblatt of 15.04.99 reporting 30 injured passengers during turbulence-related vibrations of an aeroplane Boeing 747.
- [6] Police accident report and medical reports
- [7] Police accident report and photos documenting the steering wheel and -column totally deformed by the head
- [8] Police accident report and newspaper documenting the instant death of a 21 years-old female driver due to the head impact with the window pane.

Six related prior art listed in Search report (see E and F) are enclosed.

Auslandsabteilung

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Telefon/Phone: 0611/364-02601
Telefax: 0611/364-02699
Fernschreiber/Telex: 4186772 WIS D
Telegramme/Cables: NASSAUSPAR WIESBADEN
S.W.I.F.T.-Adresse: NASS DE 55

Naspa Nassauische Sparkasse

Nassauische Sparkasse · Postfach 4460 · D-65034 Wiesbaden

BS 352

WIESBADEN,
TRN

000406910593244
039911

CHEQUE NO. 000406910593244

*** ORDER CHEQUE ***

CURRENCY AMOUNT
USD *****400,00**

(50) ORDERING CUSTOMER
DR DJIEN GIOK GO

PFAHLGRABENSTR 45
65510 IDSTEIN
(59) BENEFICIARY

US PATENT AND TRADEMARK
WASHINGTON, DC 20231, USA

YOURS SINCERELY

NASSAUISCHE SPARKASSE
WIESBADEN

CHEQUE NO. 000406910593244

WIESBADEN

ROUTING NO.

DATE: 00.04.07

PAY AGAINST THIS CHEQUE
TO THE ORDER OF
US PATENT AND TRADEMARK
WASHINGTON, DC 20231, USA

CURRENCY AMOUNT
USD *****400,00**

039911

DRAWEE BANK
/400004836
CHASE MANHATTAN BANK N.A.

AMOUNT IN WORDS *FOUR*ZERO*ZERO**
*00/100*****

1 NEW YORK PLAZA, 4TH FLOOR
NEW YORK N.Y. 10081/USA

& Co.

Nassauische Sparkasse

⑆021000021⑆400004836⑈

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Telefon/Phone: 0611/364-02601
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Telegramme/Cables: NASSAUSPAR WIESBADEN
S.W.I.F.T.-Adresse: NASS DE 55

Naspa Nassauische Sparkasse

Nassauische Sparkasse · Postfach 4460 · D-65034 Wiesbaden

WIESBADEN,
TRN

039898
000405910S92566

BS 352

CHEQUE NO. 000405910S92566

*** ORDER CHEQUE ***

CURRENCY AMOUNT (70) DETAILS OF PAYMENT
USD *****1.600,00** PCT/DE98/03270 AND 03271

(50) ORDERING CUSTOMER
DR DJIEN GIOK GO

PFAHLGRABENSTR 45
65510 IDSTEIN
(59) BENEFICIARY

US PATENT AND TRADEMARK
WASHINGTON, DC 20231 - USA

YOURS SINCERELY

NASSAUISCHE SPARKASSE
WIESBADEN

CHEQUE NO. 000405910S92566

WIESBADEN

ROUTING NO.

DATE: 00.04.05

PAY AGAINST THIS CHEQUE
TO THE ORDER OF
US PATENT AND TRADEMARK
WASHINGTON, DC 20231 - USA

039898

CURRENCY AMOUNT
USD *****1.600,00**

DRAWEE BANK
/400004836
CHASE MANHATTAN BANK N.A.

AMOUNT IN WORDS *ONE*SIX*ZERO**
*ZERO**00/100*****

1 NEW YORK PLAZA, 4TH FLOOR
NEW YORK N.Y. 10081/USA

& Co.

Nassauische Sparkasse

⑆021000021⑆400004836⑈

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jc772 U.S. PTO

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Registered

Customer Service Center, Initial Patent Examination Div.
US Department of Commerce
Patent and Trademark Office
Assistant Commissioner for Patents
Washington DC 20231
USA

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PCT/DE98/03270 and 03271

Dear Sirs,

04/10/20

Would you help me please

- to register both patent applications for the purpose of examination, to disclose the Appl. Nr and
- to credit the remaining sum of two checks, totalling \$ 2000, to the account I have requested (See attached letter to Deposit Accounts Box 16)?

I would appreciate you if the examination on both Appls. can be accelerated due to the interest of Boeing Corp. as well as car industry.

Thank you in advance.

kind regards

Go

Attached.

USPTO-Forms, Documents of PCT/DE98/03270 and 03271 and US- patent applications
pursuant US-Patent rules
two checks of \$1600 and \$400
letter to Deposit Accounts Box 16
Boeing-letter

09/554464

Office of
The General Counsel

The Boeing Company
P.O. Box 3707 MS 13-08
Seattle, WA 98124-2207

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May 11, 1998

Dr. Ing. Giok Djien Go
Pfahlgrabenstr. 45
D-65510 Idstein
Germany

BOEING

Dear Dr. Go:

Your letter which was received February 26, 1998 has been referred to this office for reply.

The Boeing Company receives many unsolicited ideas each year. These ideas range from technical concepts for new or improved products to business suggestions. While we are always interested in improvements to our products and processes, we are only willing to review unsolicited ideas when the rights of the originator and the Company are clearly established in advance. Furthermore, we do not wish to assume any responsibility for receiving any unsolicited ideas in confidence.

Accordingly, our policy is not to accept any unsolicited ideas for review other than those of a technical nature, and then only if each idea is protected by an issued United States patent. We have adopted this policy because an idea, even though honestly believed by the person submitting it to be new, may have been previously submitted by others, already patented by others, described in a published document, or already conceived independently by our own employees. The misunderstanding or appearance of unfairness that might result from such situations must be avoided. We are committed to communicating honestly and dealing fairly in all relationships.

Since your letter did not include or cite an issued United States patent, our policy precludes us from reviewing your idea and requires us to return your letter and any information you submitted. They have not been reviewed by our business or technical personnel. We have not retained any copies.

If you have been granted a United States patent on your idea, or are granted one in the future, we would be pleased to review the patent for possible Boeing interest. Please provide us with a copy of the patent at the above address.

THE BOEING COMPANY


Bernard A. Donahue
Senior Counsel